**List of documents/information to be submitted in relation to listing of securities of a foreign issuer**

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| № | **Document title** | **Placement/**  **Circulation** | **Type of security** | **Listing level** | **Filing format** |
| 1. | Application for the listing of securities or for a change in the listing level (standard form) | placement and circulation | all types of securities | all levels | on paper |
| 2. | Security Questionnaire (standard form) | placement and circulation | all types of securities | all levels | * on paper * electronically |
| 3. | Documents evidencing the powers of the signatory to the Application and/or the Questionnaire, in accordance with the requirements of Annex A to these Rules (if not filed earlier) | placement and circulation | all types of securities | all levels | * on paper * electronically |
| 4. | **1.** A copy of the title page of properly executed securities prospectus, marked by the Bank of Russia to confirm registration of such prospectus  **2.** Full text of such document  OR  Where registration of the securities prospectus is not required in accordance with the Securities Market Law, the following shall be filed:  1. a properly executed securities prospectus  *(except where the filing of a securities prospectus with the Exchange is not a mandatory requirement pursuant to the Securities Law Market)*  2. a copy of the draft prospectus, certified by the Entity  *(if the procedure of listing with a foreign exchange was started, but has not been completed)*  3. a summary of the prospectus meeting the requirements of the Exchange, the laws of the Russian Federation and the Bank of Russia regulations. This document shall only be filed for the purposes of listing (where the Exchange makes the decision on admission to public circulation). | placement and public circulation  the documents shall be filed together with the application or after the completion of the listing procedure at the foreign exchange | all types of securities | all levels | 1. title page copy - on paper  2. document text - electronically  OR  1. on paper and electronically  2. on paper and electronically  3. on paper and electronically |
| 5. | Letter(s) confirming that the placement and/or circulation of the securities in the Russian Federation conforms to the requirements of the laws of the Russian Federation, the foreign law, including the foreign issuer's (the underlying securities issuer's) proper law, and, where applicable, (the proper law of the foreign stock exchange *(it shall not be necessary to file such letter(s) if the decision on the admission to placement and/or circulation was made by the Bank of Russia)* | placement and public circulation | all types of securities | all levels | on paper |
| 6. | Document evidencing the legal status of the foreign entity in accordance with the proper law of such foreign entity, in particular, the constitutional documents and/or documents evidencing the state registration of the legal entity (if applicable to the respective entity).  The above-mentioned documents shall be provided upon the request of the Exchange. | placement and circulation | all types of securities | all levels | upon request -   * on paper * electronically |
| 7. | A copy of the Bank of Russia notice of prospectus registration and of admission to public placement and/or circulation in the Russian Federation *(if such a decision was made by the Bank of Russia)* | placement and public circulation | all types of securities | all levels | on paper |
| 8. | **1.** A copy of the title page of the placement completion notice, bearing a receipt confirmation mark made by the Bank of Russia  **2.** Full text of the document  *(for securities placed in the Russian Federation)* | public circulation | all types of securities | all levels | 1. title page copy - on paper  2. document text - electronically |
| 9. | Annual consolidated financial (accounting) statements, and if such statements are not available, standalone financial (accounting) statements of the foreign issuer (the underlying securities issuer, surety, or guarantor) prepared in accordance with the International Financial Reporting Standards (IFRS) or other internationally recognised rules, accompanied by an auditor's opinion confirming its accuracy, in respect of 1 or 3 complete years preceding the date of securities listing, depending on the listing level | placement and public circulation | all kinds of securities, other than units and shares of foreign investment funds (except where directly provided for in the foreign investment fund's securities prospectus) | Levels One and Two | electronically |
| 10. | A report containing evidence of the issuer's compliance with the requirements to the issuer's corporate governance, as referred to in Clauses 2.18 – 2.20 Annex 2 to these Rules, accompanied by the documents evidencing compliance therewith | placement and public circulation | Shares, Bonds | Levels One and Two  Level One | the report and accompanying documents:   * on paper * electronically |
| 11. | A letter confirming that there have been no default on the obligations on the part of the issuer / the agreed legal entity in respect of the bonds, or confirming that at least 2/3 years (depending on the level of listing) have passed since the termination of any obligations on bonds in relation to which the issuer/ the agreed legal entity defaulted on its obligations. | placement and public circulation | Bonds, securities of international financial organisations, foreign countries or central banks of foreign countries | Levels One and Two | on paper |
| 12. | A letter containing the schedule of authorised officers of the foreign exchange-traded investment fund, and the maximum allowable departure from the estimated of the securities of such funds that may be indicated in the bids for and/or offers of such securities traded on the exchange by the persons included in the schedule of authorised officers of such fund. | circulation | Units and shares of foreign exchange-traded investment funds | all levels | on paper |
| 13. | An agreement for the provision of appropriate listing services as envisaged in these Rules (2 copies) | placement and circulation | all types of securities | all levels | on paper |
| 14. | Other documents (information) necessary for the listing of the securities (as requested by the Exchange) | placement and circulation |  | all levels | upon request:   * on paper, and/or * electronically |

**Note:**

1. In connection with security placement, the Security Questionnaire may be filed separately from the main set of documents, but not less than 5 days from the placement start date.
2. Documents shall be filed in the Russian language, and if the document is drafted in a foreign language, then accompanied by a notarised Russian translation, or the translation executed on the official letterhead of the organisation that did the translation, verified by the seal (if any) and signatures of the translator and the executive of the organisation.

Documents indicated in Section 4 of the Table may be filed in the English language in the circumstances referred to in the Securities Market Law.

The documents referred to in Section 6 and Section 9 of the Table, as well as copies of the documents of the issuer, the foreign stock exchange or the foreign issuer's proper law that set forth the corporate governance standards may be provided in the English language.

1. The document referred to in Section 5 of the Table may be signed by the legal counsel.
2. Upon any change in the information contained in the Security Questionnaire, the Entity shall notify the Exchange in writing of such changes and shall re-submit an updated Questionnaire in an electronic form within 10 business days from the date of the coming into force of such changes. The Questionnaire shall not be re-submitted upon any change in the details of the determined coupon size after the placement of the bonds.
3. In case of any changes to the document referred to in Section 4 of the Table, or to foreign documents within the securities prospectus, the Entity shall file a copy of such changes within 10 business days from the date of registration/approval/making such changes.
4. If the Entity files an Application for the listing (or changing the listing level) of securities, it shall not be necessary to re-submit any earlier provided documents, except where changes and/or additions were made to such documents. The document referred to in Section 5 of the Table shall be re-submitted if more than 1 year passed from the submission of such document.
5. It shall not be necessary to file an agreement for the provision of respective listing services referred to in the Rules if there exists an earlier executed contract for such services.
6. It shall not be necessary to file the documents referred to in Sections 9-10 of the Table if inclusion of such securities in Level One or Level Two is conditional upon their inclusion, by a foreign exchange, in a list, market, or segment in accordance with the requirements set out in Clause 2.15 and 2.16 Annex 2 to these Rules.
7. Section 11 of the Table shall also apply to the securities of political subdivisions of foreign countries that have independent legal standing.