



## Moscow Exchange Profile<sup>1</sup>

### 1. General information

<b>1.1.</b>	<b>Full name:</b>	Публичное акционерное общество «Московская Биржа ММББ-РТС»
<b>1.2.</b>	<b>Abbreviated name:</b>	ПАО Московская Биржа
<b>1.3.</b>	<b>Name in foreign language:</b>	Public Joint-Stock Company «Moscow Exchange MICEX-RTS», Moscow Exchange
<b>1.4.</b>	<b>Legal form:</b>	Public joint stock company
<b>1.5.</b>	<b>Main state registration number (MSRN):</b>	1027739387411
<b>1.6.</b>	<b>Date of registration:</b>	16 October 2002
<b>1.7.</b>	<b>Series and number of the document confirming the registration:</b>	series 77 No. 005110187
<b>1.8.</b>	<b>Place of registration:</b>	Moscow
<b>1.9.</b>	<b>Name of registration authority:</b>	Interdistrict Inspectorate No. 39 of the Russian Ministry of Taxation for the City of Moscow
<b>1.10.</b>	<b>Tax identification number:</b>	7702077840
<b>1.11.</b>	<b>Registered address:</b>	13 Bolshoy Kislovsky pereulok Moscow Russian Federation
<b>1.12.</b>	<b>Correspondence address for cross border mail:</b>	13 Bolshoy Kislovsky pereulok Moscow 125009 Russian Federation
<b>1.13.</b>	<b>Telephone number: Fax number:</b>	+7 (495) 363-32-32 +7 (495) 234-48-40
<b>1.14.</b>	<b>Email: Website Address:</b>	<a href="mailto:info@moex.com">info@moex.com</a> <a href="http://www.moex.com">http://www.moex.com</a>
<b>1.15.</b>	<b>Taxpayer Record Validity Codes (KPP):</b>	997950001
<b>1.16.</b>	<b>Codes of state statistics monitoring (if any):</b>	Russian National Classifier of Enterprises and Organisations (OKPO): 11538317 Russian National Classifier of Economic Activities (OKVED): 67.11.11 Russian National Classifier of Forms of Ownership (OKFS): 41 Russian National Classifier of Legal Structures of Businesses (OKOPF): 67 Russian National Classifier of Administrative Territorial

<sup>1</sup> As of 29 June 2020

		Entities (OKATO): 45286575000
		Russian National Classifier of Municipal Unit Territories (OKTMO): 45380000000
<b>1.17.</b>	<b>Details of licenses (if any):</b>	Exchange License No. 077-001 dated 29 August issued by the Federal Financial Markets Service

## 2. Structure and Market Position

<b>2.1.</b>	<b>Registered and paid-in capital:</b>	RUB 2,276,401,458												
<b>2.2.</b>	<b>Shareholder(s):</b>	<a href="http://moex.com/s1352">http://moex.com/s1352</a>												
<b>2.3.</b>	<b>Corporate governance structure:</b>	General Shareholders Meeting Supervisory Board Chair of the Executive Board (sole executive body) and Executive Board (collective executive body)												
<b>2.4.</b>	<b>Supervisory Board:</b>	<a href="https://www.moex.com/s467">https://www.moex.com/s467</a>												
<b>2.5.</b>	<b>Executive Board:</b>	<a href="https://www.moex.com/s589">https://www.moex.com/s589</a>												
<b>2.6.</b>	<b>Is the regulatory body, or any other body or person authorized to act on behalf of the legal entity without any power of attorney present at the legal address?</b>	Yes												
<b>2.7.</b>	<b>Branches and Representative Offices:</b>	<table border="1"> <tr> <td>Branch "Privolzhsky" in Nizhny Novgorod</td> <td>10A Kholodny pereulok Nizhny Novgorod 603000 Russia</td> </tr> <tr> <td>Branch "Severo-Zapadny" in St. Petersburg</td> <td>4-A Grivtsova pereulok St. Petersburg 190000 Russia</td> </tr> <tr> <td>Branch "Sibirsky" in Novosibirsk</td> <td>27/29 Kommunisticheskaya Str. Novosibirsk 630007 Russia</td> </tr> <tr> <td>Branch "Uralsky" in Ekaterinburg</td> <td>3 building 2a Kolmogorova Str. Ekaterinburg 620034 Russia</td> </tr> <tr> <td>Branch Yuzhny in Rostov-on-Don</td> <td>139/94 Tekucheva Str. Rostov-on-Don 344011 Russia</td> </tr> <tr> <td>Representative office in Samara</td> <td>4a building 2 Moskovskoe shosse Samara 443013 Russia</td> </tr> </table>	Branch "Privolzhsky" in Nizhny Novgorod	10A Kholodny pereulok Nizhny Novgorod 603000 Russia	Branch "Severo-Zapadny" in St. Petersburg	4-A Grivtsova pereulok St. Petersburg 190000 Russia	Branch "Sibirsky" in Novosibirsk	27/29 Kommunisticheskaya Str. Novosibirsk 630007 Russia	Branch "Uralsky" in Ekaterinburg	3 building 2a Kolmogorova Str. Ekaterinburg 620034 Russia	Branch Yuzhny in Rostov-on-Don	139/94 Tekucheva Str. Rostov-on-Don 344011 Russia	Representative office in Samara	4a building 2 Moskovskoe shosse Samara 443013 Russia
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<b>2.8.</b>	<b>History, business reputation, market sector and competition:</b>	Moscow Exchange is the largest exchange group in Russia and Eastern Europe that was established in December 2011 through the merger of Russia's two main exchange groups,												

MICEX Group (established in 1992) and RTS Group (established in 1995).

Moscow Exchange provides exhaustive and convenient access to the Russian financial markets. The Exchange's markets offer clients trading opportunities across a diversified range of asset classes all combined with best-in-class post-trade services.

Today, Moscow Exchange is the main liquidity and price discovery centre for Russian instruments. Moscow Exchange hosts trading in equities, bonds, derivatives, currencies, money market instruments and commodities. The Group also includes Russia's central securities depository "the National Settlement Depository" and the National Clearing Centre, which performs the function of central counterparty. Moscow Exchange ranks among the world's top 20 exchanges by total capitalisation of shares traded, and also among the 10 largest exchange platforms for bonds and derivatives trading.

Securities of over 700 issuers are admitted to trading on the equity and bond markets of Moscow Exchange. The Exchange is also a leader in driving modernisation of Russia's financial markets infrastructure and promoting Moscow as an international financial centre. Over the past two years, long awaited infrastructure reforms were implemented: the central securities depository and central counterparty were qualified by the Bank of Russia and started to operate at full strength; international central securities depositories began servicing equity and bond markets clients and the equity market was transferred to the T+2 settlement cycle with partial prefunding. All these changes have made trading on Moscow Exchange as convenient as on the world's leading marketplaces and has resulted in growth of trading volumes.

Moscow Exchange's RTS and MICEX indices are the major benchmarks for the Russian stock market and are widely used by portfolio managers to develop investment strategies. The Exchange is continually working to expand its range of indices and improve product support and refine the rules governing market data usage for all types of clients.

Moscow Exchange went public in February 2013 and is traded on its own trading platform under the ticker "MOEX".

### **3. Anti-Money Laundering / Counter-Terrorism Financing Controls in Moscow Exchange**

#### **3.1. AML Policies & Procedures:**

Internal Control Rules on Anti-Money Laundering and Counter Terrorist Finance at Public Joint Stock Company "Moscow Exchange MICEX-RTS".

<b>3.2.</b>	<b>The Bank Officer in charge of developing and implementing the internal control rules to Anti-Money Laundering (MLRO):</b>	Name	Anton Savushkin
		Job title	Head of the Internal Control Service, Deputy Chairman of the Executive Board
		Telephone	+7 495 363 32 32, ext. 18-99
		Email	Anton.Savushkin@moex.com
<b>3.3.</b>	<b>KYC Procedures:</b>	Moscow Exchange follows procedures prescribed by the Russian legislation, the the FATF Recommendations and the Wolfsberg Principles.	

A. Savushkin  
Head of the Internal Control Service  
Deputy Chairman of the Executive Board



**MOSCOW  
EXCHANGE**

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E-mail: [info@moex.com](mailto:info@moex.com), [www.moex.com](http://www.moex.com)

To Moscow Exchange counterparties

We hereby inform you that Moscow Exchange has adopted the Internal Control Rules on Anti-Money Laundering and Counter Terrorist Finance.

Moscow Exchange does not build and maintain business relationships with non-resident banks without a permanent management team working in the bank's country of registration.

Moscow Exchange does not hold accounts with banks registered in countries (on territories) which would be known as failing to comply with the generally accepted standards on anti-money laundering and counter financial of terrorism in accordance with international sources.

We look forward to our further cooperation.

Yours sincerely,  
A. Savushkin  
Head of the Internal Control Service  
Deputy Chairman of the Executive Board