

APPROVED

by Resolution # ____ of the Supervisory Board
of the Moscow Exchange
dated _____ 2015

DECLARATION
on INFORMATION SECURITY
of Public Joint-Stock Company Moscow Exchange MICEX-RTS

Moscow, 2015

In order to ensure security of organising trading, clearing services, providing services on the stock, derivatives, FX and money markets, the Moscow Exchange deems it necessary to protect the accessibility, integrity and confidentiality of own information assets, as well as information provided with trust by its partners and clients. The provisions of this Declaration are based on the requirements of the Moscow Exchange's Policy on Information Security Management and also on financial markets legislation of the Russian Federation. The provisions of this Declaration only apply to Moscow Exchange activities of protecting information constituting commercial secrets, personal data and other confidential information. They do not address the matters of protecting state secrets of the Russian Federation.

The main goals of information security are to:

- ensure continuity and security of the basic business processes of the Moscow Exchange and minimise potential damage of security-threatening events;
- maintain the high reputation earned by the Moscow Exchange as a company focused on effective protection of information provided by international organisations, partners, counterparties and clients it has dealings with.

To achieve its primary information security goal, the Moscow Exchange has implemented the following objectives:

- ensuring accessibility, integrity and effective use of information assets;
- ensuring information confidentiality, preventing damage caused by disclosure of confidential information, including personal data;
- creation of an effective system of monitoring and protection of the entire information infrastructure of the Moscow Exchange;
- increasing effectiveness of protection and optimisation of information security costs through a risk-based approach to the protection of information assets;
- raising the information security awareness of Moscow Exchange personnel.

The Moscow Exchange has implemented the information security management system (ISMS) established in accordance with the requirements of information security standard ISO/IEC 27001:2013 and used as a tool for effectively meeting the goals of the Declaration on Information Security.

The Committee on Information Security and Business Continuity operates in the Moscow Exchange on a permanent basis for regular ISMS analysis and decision-making on its improvement. The Moscow Exchange also has a relevant structural unit – the Department of Operational Risks,

Information Security and Business Continuity – responsible for prompt coordination of work related to information security, operational risks and business continuity.

The Moscow Exchange's Management and employees perceive their commitments to protect information assets and are personally responsible for failure to meet information security requirements. The employment agreements and job descriptions of employees, as well as contracts (agreements) with counterparties contain these requirements.

All Moscow Exchange employees contribute to work done by the Department of Operational Risks, Information Security and Business Continuity. Moscow Exchange employees aware of any information security incident must immediately take measures to reduce the damage done by the incident, report the incident to their line manager and to the Department of Operational Risks, Information Security and Business Continuity, as well as report any identified and potential threats to Moscow Exchange information assets.

The Management of the Moscow Exchange is aware of the importance of information asset security and encourages continuous ISMS improvement.