**APPROVED**

by the Supervisory Board

of Public Joint-Stock Company

‘Moscow Exchange MICEX-RTS’

on December 28, 2016, Minutes No. 12

Chairman of the Supervisory Board

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ A.L. Kudrin

**RULES**

**for Admission to Organised Trading on Securities Market and Deposit Market of Public Joint-Stock Company «Moscow Exchange MICEX-RTS»**

**Part III. Deposit Market Section**

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# Section 01. general provisions

## Article 01.01 Purpose of Deposit Market Admission Rules

1. These Rules for Admission to Organised Trading on Securities Market and Deposit Market of Public Joint-Stock Company «Moscow Exchange MICEX-RTS». Part III. Deposit Market Section (hereinafter, the Deposit Market Admission Rules) are an integral part of the Rules for Admission to Organised Trading on Securities Market and Deposit Market of Public Joint-Stock Company «Moscow Exchange MICEX-RTS» and, jointly with the Rules for Admission to Organised Trading on Securities Market and Deposit Market of Public Joint-Stock Company «Moscow Exchange MICEX-RTS». Part I. General Part (hereinafter, the General Part of the Admission Rules), shall regulate the procedure and conditions for admission to organised trading on the deposit market (the Deposit Market Section) of the Candidates to Trading Members of the Deposit Market (hereinafter, the Candidates), as well as participation in organised trading on the deposit market (the Deposit Market Section) of the Trading Members of the Deposit Market (hereinafter, the Trading Members).

## Article 01.02 Terms and Definitions

1. For the purposes of the Deposit Market Admission Rules, terms defined in the General Part of the Admission Rules shall apply.

# section 02. ADMISSION TO TRADING; TRADING MEMBERS REGISTRATION

## Article 02.01 Requirements to Trading Members

* + - 1. A legal entity may be admitted to trading on the deposit market if such legal entity meets the requirements set forth by the laws of the Russian Federation and the requirements to Candidates/Trading Members set forth in the Admission Rules and other internal documents of Moscow Exchange regulating trading procedures at Moscow Exchange.
      2. Admission to trading on the deposit market may be granted to a person which does not carry out the following business activities under a relevant license (a legal entity has no relevant license):
* business of a credit organisation;
* holding organised trading;
* professional activities on the securities market;
* managing equity investments funds, unit investments funds, non-state pension funds;
* business of specialised depositories of investment funds, unit investments funds and non-state pension funds;
* clearing business;
* repository business.
  + - 1. To be admitted to trading on the deposit market, a Candidate must meet the following requirements as to the financial standing and staffing:

1. Equity of no less than RUB 1 (one) billion;
2. At least 2 (two) employees who have a type one qualifying certificate of a financial market specialist or a corresponding qualifying certificate issued in accordance with the laws and/or who graduated from one of the educational centres on the list approved by Moscow Exchange.

## Article 02.02 Conditions for Admission to Trading

* 1. A Candidate may be admitted to trading on the deposit market, if such Candidate meets the requirements and conditions set forth in the General Part of the Admission Rules, this part of the Admission Rules, as well as certain conditions set forth in the Trading Rules and Clearing Rules in respect of the Deposit Market Section.
  2. In a Candidate meets the admittance conditions in accordance with this Article of the Deposit Market Admission Rules, the Candidate shall be admitted to trading in any trading mode available in the Deposit Market Section.

## Article 02.03 Trading Member Registration Procedure

1. Moscow Exchange shall register a Candidate as a Trading Member within the Trading System and assign Trading Member Codes to such Candidate in accordance with the procedure set forth in the General Part of the Admission Rules.

# section 03. SUSPENSION, TERMINATION AND RESUMPTION OF ADMISSION TO TRADING

## Article 03.01 Reasons for Suspending Admission to Trading

1. Moscow Exchange shall suspend the Trading Member’s admission to trading on the deposit market for any of the reasons listed in the General Part of the Admission Rules and/or for a failure of such Trading Member to meet the requirements set forth in para 3 Article 02.01 hereof.
2. Moscow Exchange may suspend the Trading Member’s admission to trading on the deposit market in case Moscow Exchange becomes aware, from mass media publications or information posted on websites of relevant competent/regulatory state authorities or on a website of such Trading Member, of any possible failure of such Trading Member to meet the requirements set forth in the internal documents of Moscow Exchange and the Clearing Organisation, or the laws of the Russian Federation, which may serve an evidence (on the discretion of Moscow Exchange) of the need to suspend the Trading Member’s admission to trading on the deposit market.
3. The Trading Member’s admission to trading on the deposit market shall be suspended:
4. no later than the trading day following the day of receipt by Moscow Exchange of information that serves the ground for suspension of admission to trading under para 1 of this Article of the Deposit Market Admission Rules;
5. on the date Moscow Exchange adopts a resolution for suspension of admission to trading in accordance with para 2 of this Article of the Deposit Market Admission Rules.
6. In case the Trading Member’s admission to trading is suspended for any cause set forth in this Article of the Deposit Market Admission Rules, the Trading Member’s admission to trading on the deposit market may be resumed as set forth in the General Part of the Admission Rules.

## Article 03.02 Reasons for Terminating Admission to Trading

1. Moscow Exchange shall terminate the Trading Member’s admission to trading on the deposit market for any of the causes listed in the General Part of the Admission Rules and/or in case such Trading Member obtains a license for any business activities listed in para 2 Article 02.01 hereof.
2. The Trading Member’s admission to trading on the deposit market shall be terminated no later than the trading day following the day of receipt by Moscow Exchange of information that serves the ground for termination of admission to trading under para 1 of this Article of the Deposit Market Admission Rules.
3. In case the Trading Member’s admission to trading is terminated for causes set forth in this Article of the Deposit Market Admission Rules, the admission to trading on the deposit market may not be resumed.

## Article 03.03 Procedure for Suspending / Terminating / Resuming Admission to Trading

1. The Trading Member’s admission to trading on the deposit market may be suspended / terminated based on information (documents) set forth in the General Part of the Admission Rules.
2. The suspended Trading Member’s admission to trading on the deposit market may be resumed only after the reasons for such suspension (as set forth in the General Part of the Admission Rules and Article 03.01 of this Section of the Deposit Market Admission Rules) are removed.

# Section 04. INFORMATION DISCLOSURE AND SUBMISSION

## Article 04.01 Information Disclosure and Submission

1. Moscow Exchange may disclose the value of trades made by the Trading Members on the deposit market in accordance with the laws of the Russian Federation, the internal documents and resolutions of Moscow Exchange.