



NATIONAL
SETTLEMENT
DEPOSITORY
MOSCOW EXCHANGE GROUP

FOREIGN SECURITIES SERVICES

TABLE OF CONTENTS

1. Foreign securities

- Information on foreign securities on NSD's web site
- Information on corporate actions and events for foreign issuers and securities on NSD's web site
- Increase in the number of U.S. securities issues held in custody at NSD

2. Foreign securities safekeeping and settlements

- Post-trade transfers of securities (Moscow Exchange trades)
- Transfer of foreign securities from the U.S. market to NSD for trading on Moscow Exchange
- Multi-listed securities

3. Corporate actions

- CA notification
- Participation in CAs
- CA results
- Specifics of handling foreign financial instruments not qualified as securities, which are received as a result of a CA

4. Fees

INFORMATION ON FOREIGN SECURITIES SERVICED BY NSD

Information on issuers and securities is available at <https://nsddata.ru/en> in the 'Reference Books' section. To get access to the details form for any securities, the user must first be registered.

The 'Reference Books' are also accessible from NSD's web site <https://www.nsd.ru/en/>: Information Services - > Reference Information - > Reference Books for Securities.

More detailed information on issuers and securities is available to users of NSD's information services (DISC NSD, API).

RELEASE PROFILE - SHARE

Issuer Name	
Issue Name	
Alphabet Inc.	
ALPHABET INC. ORD SHS	
Information on the issuer	
NSD Code	ALPH
Full name	Alphabet Inc.
Country of Incorporation	USA
Registered address	2711 Centerville Road, Suite 400, City of Wilmington, County of New Castle, Delaware 19808, USA
Issuer's country code according to the All-Russian Classifier of Countries of the World (for reporting to the Bank of Russia)	840
Information on the securities issue	
NSD Code	US02079K3059
ISIN Code	US02079K3059
CFI	ESVUFR
Security Type	Class A
Category	обыкновенные
Issue Form	uncertificated registered
Issuer Full Name	ALPHABET INC. ORD SHS
Par value	0.001
Par value currency	USD
Status	Placed
Bank of Russia's classification	SHS6
Qualified Investors	No

INFORMATION ON FOREIGN SECURITIES SERVICED BY NSD (CONTINUED)

Current data	Number of securities in the issue (items)	Volume of the issue
Placed securities	298 492 525	

Trading platforms

Exchange	Level of quotation list	Securities code used by the exchange	Trading and clearing system
ПАО Московская Биржа		GOOGL	НКЦ. Расчеты в валюте РФ

Places of safekeeping

Securities code in the current place of safekeeping	Place of safekeeping
US02079K3059	Евроклир

Second level places of safekeeping

Second level place of safekeeping	Country of incorporation	Tariff rate
Citibank N.A.	US - США	1.00
Grand tariff rate**		1.00

** Tariff rate for securities with XS prefix amounts to 1 regardless of the place of safekeeping.

Legal Information
Contacts
© 1996 – 2020 NSD


[Print page](#)

[Subscribe](#)



NOTIFICATION OF CORPORATE ACTIONS AND EVENTS FOR FOREIGN ISSUERS AND SECURITIES

Information is available at
<https://nsddata.ru/en> in the
'News Feeds' section.



**NATIONAL
SETTLEMENT
DEPOSITORY**
MOSCOW EXCHANGE GROUP

bogatenkova.ea@nsd.ru

Ru

API NSD DISC NSD VALUATION CENTER **NEWS FEEDS** REFERENCE BOOKS ADD. SERVICES

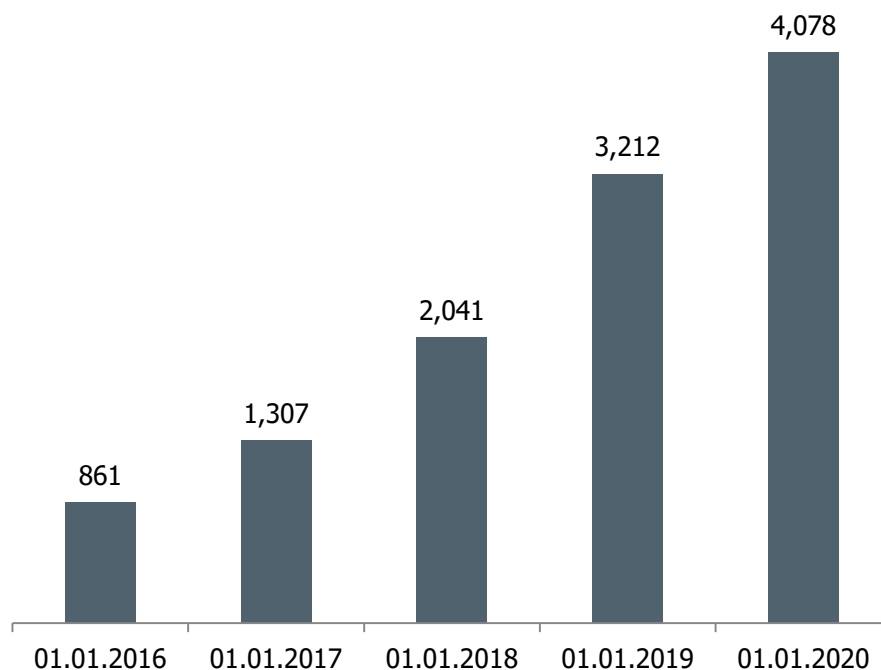
CIC NEWS

☐ in titles only
Period from 19.08.2020 to 26.08.2020

Search Clear all

26.08.2020	(MEET) Notification on Corporate Action "Annual General Meeting" for STROYPOLIMERKERAMIKA ITN 4001000931 (shares 1-01-04594-A / ISIN RU000A0JRLS8)
26.08.2020	(MEET) Notification on Corporate Action "Annual General Meeting" for AO "NATEK INVEST-ENERGO" ITN 7724554013 (shares 1-01-10833-A / ISIN RU000A0JWEE3)
26.08.2020	(DSCL) Information disclosure for "KHIMPROM" PJSC (shares issue RU0009099006)
26.08.2020	(INTR) Notification on Corporate Action "Interest Payment" for PJSC "STLC" ITN 7720261827 (bonds 4B02-06-32432-H-001P / ISIN RU000A0ZYAP9)
26.08.2020	(MEET) Notification on Corporate Action "Annual General Meeting" - MOTHERCARE PLC ORD SHS (shares ISIN GB0009067447)
26.08.2020	(XMET) Notification on past Corporate Action "Extraordinary or special general meeting." for GIPRONIIAVIAPROM ITN 7714013456 (shares 1-01-09769-A / ISIN RU000A0JNTA8)
26.08.2020	(REDM) Notification on Corporate Action "Principal repayment" - COMMERZBANK AG VAR 02/09/20 (bonds ISIN XS1670774550)
26.08.2020	(REDM) Notification on Corporate Action "Principal repayment" - SOCIETE GENERALE S.A. ZCP 04/09/20 (bonds ISIN XS1604607413)
26.08.2020	(PRED) Notification on Corporate Action "Partial Redemption Without Reduction of Nominal" - MINFIN ROSSII 2.25 31/03/30 (bonds SK-0-CM-128 / ISIN XS0114288789)
26.08.2020	(BRUP) Notification on Corporate Action "Bankruptcy" - PT DELTA MERLIN DUNIA TEXTILE 8.625 12/03/24 (bonds ISIN USY2124AAA52)
26.08.2020	(DVOP) Notification on Corporate Action "Dividend Option" - WHEATON PRECIOUS METALS CORP ORD SHS (shares ISIN CA9628791027)
26.08.2020	(INTR) Information on receipt and transfer of payments on securities by the depository performing mandatory centralized custody / centralized recordkeeping of the issuer's securities Interest Payment for MINFIN ROSSII (bonds issue RU0002867854)
26.08.2020	(INTR) Information on receipt and transfer of payments on securities by the depository performing mandatory centralized custody / centralized recordkeeping of the issuer's securities Interest Payment for JSC "LC "EUROPLAN" (bonds issue RU000A1004K1)
26.08.2020	(INTR) Information on receipt and transfer of payments on securities by the depository performing mandatory centralized custody / centralized recordkeeping of the issuer's securities Interest Payment for GARANT-INVEST COM. REAL ESTATE (bonds issue RU000A0ZZJZ6)

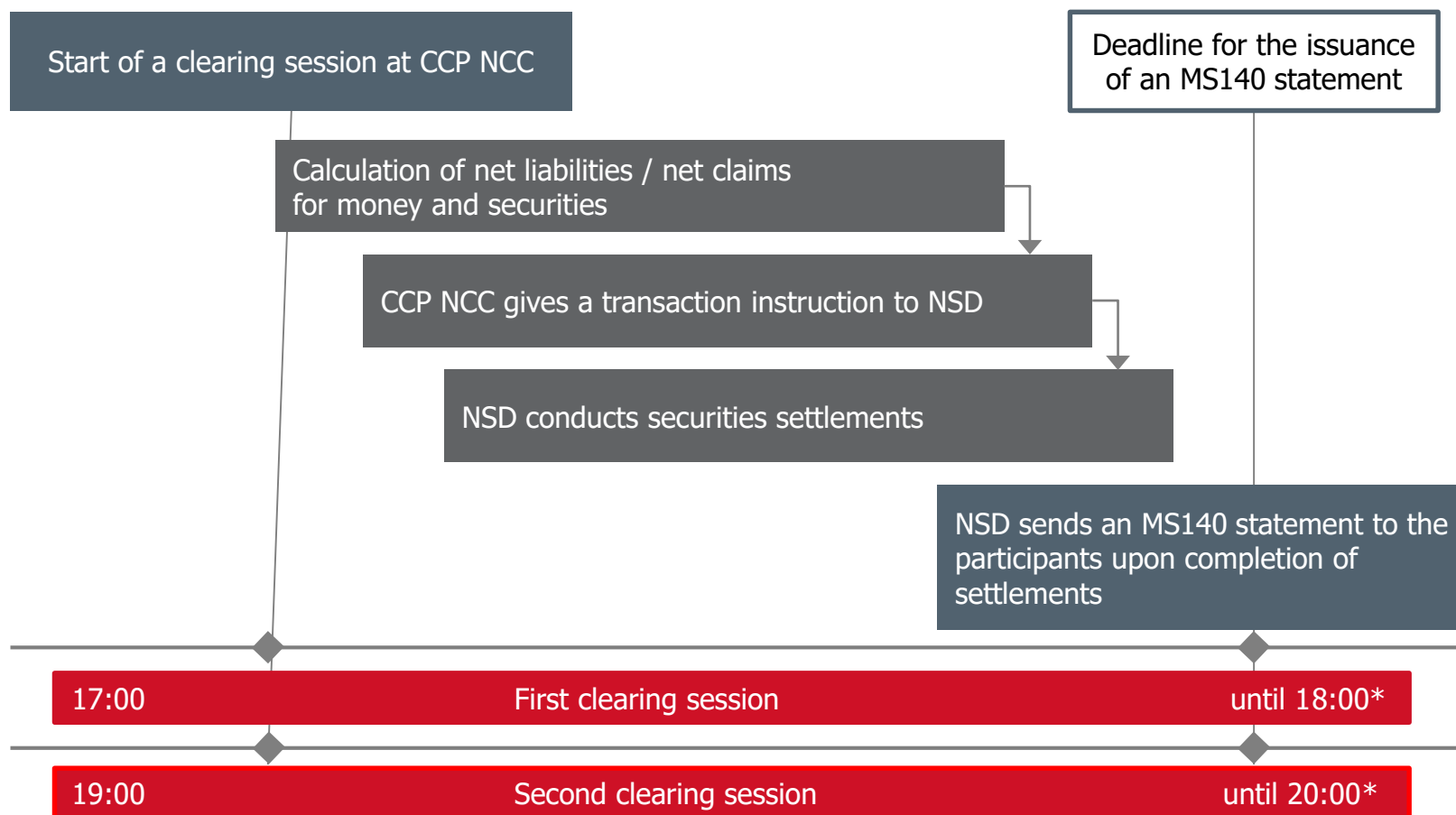
INCREASE IN THE NUMBER OF U.S. SECURITIES ISSUES HELD UNDER CUSTODY AT NSD



4,518

U.S. securities issues are held under custody
at NSD as of 1 July 2020

POST-TRADE TRANSFERS OF SECURITIES (MOSCOW EXCHANGE TRADES)

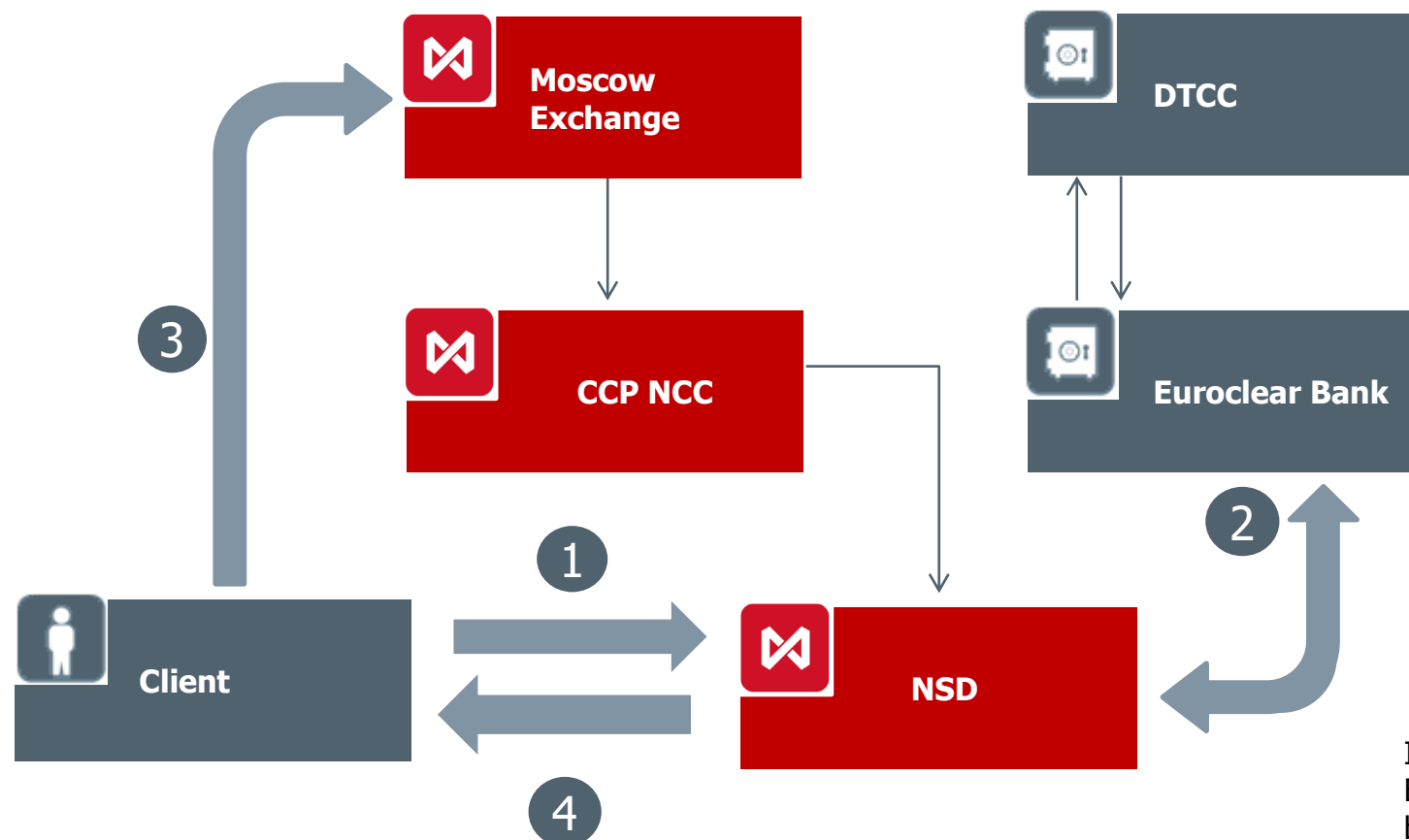


The following documents may also be issued to participants:

- MS101 statement (transaction type 14/4): **Transfer upon clearing of trades. Settlement of each trade**
- MS101 statement (transaction type 14/5): **Transfer upon clearing of trades during a trading session**

* The time for the issuance of statements is shown for information purposes only and may be increased to reflect any extension of time periods for relevant procedures at the clearing house.

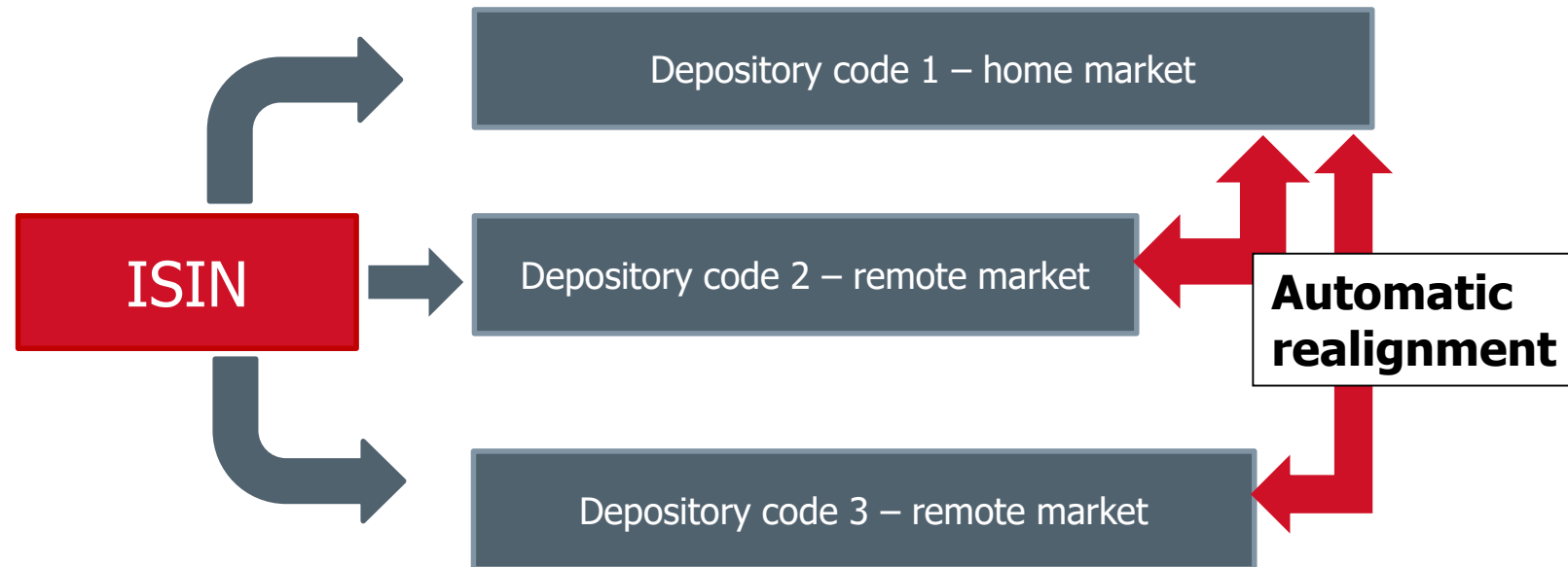
TRANSFER OF FOREIGN SECURITIES FROM THE U.S. MARKET TO NSD FOR TRADING ON MOSCOW EXCHANGE



1. A client (client's depository) gives an instruction for transaction type 37 to NSD.
2. NSD gives an instruction for settlement via Euroclear Bank and confirms its execution to the client as soon as the instruction is executed by Euroclear Bank.
3. The client (client's broker) places a sell order on Moscow Exchange.
4. Upon clearing by CCP NCC, NSD transfers the securities to/from the relevant sub-account of the trading account held by the client (client's depository) with NSD and issues the relevant statement.

If the client uses an individual account with Euroclear Bank acting as place of safekeeping, for the client to be able to trade securities on Moscow Exchange, the securities need to be transferred to a trading account for clearing at CCP NCC (account type "H").

MULTI-LISTED SECURITIES



Euroclear Bank automatically realigns between the markets:

- remote markets are replaced by home markets (twice a day)
- home markets are replaced by remote markets against instructions given in remote markets, if necessary



As regards to multi-listed securities proposed to be made available for trading in Russia starting from 24th of August 2020, only home-market securities will be eligible for trading on Moscow Exchange.

CORPORATE ACTIONS

Corporate actions (CA) for foreign securities are conducted subject to any specifics and restrictions provided for by applicable law, foreign depositories' rules, or customary business practices applicable in the relevant market and/or with respect to the relevant securities.

Notification

- ✓ Information regarding CA is provided by NSD no later than the next day after the receipt of that information from the foreign depository. Such information is provided as follows:
 - ✓ by posting general information regarding the CA on the web site;
 - ✓ by sending detailed information regarding the CA to clients.
- For certain CA types, information is provided using the STP method.
- If any materials are received from the foreign depository, links to them are provided in the CA notice.

Participation in CA

- For mandatory CA (MAND), no instructions are required.
- Clients may participate in voluntary CA (VOLU), as well as in mandatory CA with options (CHOS), by choosing a preferred option of participation in the CA. To do this, the client has to give to NSD a CA instruction (68/CAIN).
- The relevant securities will be blocked:
 - if the CA requires them to be blocked;
 - if the CA does not provide for a record date, or the record date is later than the date when the instruction is given.

CA results

- Upon completion of a CA by the foreign depository, clients are paid cash funds and/or have the relevant securities transferred to them, based on the total cash amount and/or total quantity of securities credited to NSD's account, and upon identification of those clients who are entitled to receive those securities or cash funds.
- If fractional securities are to be transferred, NSD transfers securities based on the total quantity of securities available in the securities account or based on the quantity of securities available in the specific sub-accounts.
- The securities are distributed to clients in accordance with the fractional coefficients and the rounding rules set out in the foreign depository's CA notice.
- Upon completion of the CA, it is possible that foreign financial instruments not qualified as securities may be transferred to clients.

Detailed information on CA for foreign securities is available on NSD's web site at <https://www.nsd.ru/en/> and in Appendix 2 to the Terms and Conditions of Depository Operations.

SPECIFICS OF HANDLING FOREIGN FINANCIAL INSTRUMENTS NOT QUALIFIED AS SECURITIES, FOR WHICH EUROCLEAR BANK ACTS AS PLACE OF SAFEKEEPING

NSD may only accept foreign financial instruments which in accordance with the Russian laws **are not qualified as securities** for servicing, if those result from a CA with foreign securities (e.g., intermediate securities distribution (RHDI)). NSD ensures segregated recordkeeping of foreign financial instruments not qualified as securities in accounting ledgers opened in the name of the client. If any foreign financial instruments not qualified as securities are credited to NSD's account with the foreign depository as part of a CA, NSD will credit such foreign financial instruments not qualified as securities to the client's accounting sub-ledgers by issuing an internal instruction.

SPECIFIC ASPECTS:

1. Accounting ledgers may include the following types: S1 (for owners), D1 (for trustees), and L1 (for nominee holders).
2. NSD provides clients with information, including regarding corporate actions with financial instruments not qualified as securities*.
3. If any financial instruments not qualified as securities are later qualified as securities in accordance with the Russian laws, such financial instruments may be transferred to a sub-account "Securities to be distributed to Clients" (sub-account type code 88) of the relevant securities account held by the client with NSD, on the basis of NSD's internal instruction (code of the transaction entitled "Debit/credit of foreign financial instruments upon their qualification" - 10/KVAL).

The detailed procedure for handling foreign financial instruments not qualified as securities is described in Appendix 7 to the Guidelines on the Procedure for Interaction between the Depository and Clients in the Course of Performance under the Terms and Conditions of Depository Operations of National Settlement Depository (Rules of Provision by NSD of Services for the Accounting of Foreign Financial Instruments Not Qualified as Securities), available at <https://www.nsd.ru/en/documents/depo//>

RESTRICTIONS APPLICABLE TO FOREIGN FINANCIAL INSTRUMENTS NOT QUALIFIED AS SECURITIES, FOR WHICH EUROCLEAR BANK ACTS AS PLACE OF SAFEKEEPING

PERMITTED	NOT PERMITTED
<ul style="list-style-type: none"> • Transactions relating to corporate actions • Transactions involving the withdrawal of financial instruments not qualified as securities from safekeeping and/or recordkeeping for the purpose of their transfer to accounts held by the client and/or other persons with a foreign depository 	<ul style="list-style-type: none"> • Transactions involving the transfer of rights to financial instruments not qualified as securities • Transactions involving the creation of encumbrances over financial instruments not qualified as securities upon client's instructions
	<ul style="list-style-type: none"> • Other transactions involving any movement of financial instruments not qualified as securities, other than permitted transactions (see the 'Permitted' column)



If financial instruments not qualified as securities or securities received as a result of a CA are not accepted by Euroclear Bank for safekeeping, NSD will not transfer them. Clients may receive such instruments to their accounts with other depositories by providing their account details to NSD.

NSD'S FEES FOR SECURITIES TRANSFERS

Post-trade transfers of securities (Moscow Exchange trades)

Transaction type 14 or 14/5 pursuant to paragraph 2.2.1.1 of the Fee Schedule for NSD's Depository Services:

- RUB 80 (for Clients making up to 500 transactions a month)
- RUB 78 (for Clients making 501 up to 1,000 transactions a month)
- RUB 75 (for Clients making 1,001 up to 2,000 transactions a month)
- RUB 70 (for Clients making 2,001 up to 4,000 transactions a month)
- RUB 65 (for Clients making more than 4,000 transactions a month)

Transaction type 14/4 pursuant to paragraph 2.2.1.4 of the Fee Schedule for NSD's Depository Services:

- RUB 100

OTC transfers

Transaction type 36 or 37 (FOP) pursuant to paragraphs 3.2.1.1 and 3.2.2.1 of the Fee Schedule for NSD's Depository Services:

- RUB 450

Transaction type 36 or 37 (DVP) pursuant to paragraphs 3.2.1.2 and 3.2.2.2 of the Fee Schedule for NSD's Depository Services:

- RUB 750

More details are available at
<https://www.nsd.ru/en/tariffs/>

NSD'S FEES FOR THE SAFEKEEPING OF FOREIGN SECURITIES

Paragraph 3.1.1: Monthly fee rates for the safekeeping of securities and/or recordkeeping of rights to securities – for bonds held with international central securities depositories:

Securities balance value (in RUB)		Annual rate in basis points
from	to	
0	500,000,000	2.244
500,000,000.01	1,000,000,000	1.896
1,000,000,000.01	5,000,000,000	1.548
5,000,000,000.01	20,000,000,000	1.440
>20,000,000,000		0.864

Country-specific adjustment factors are applicable.

Paragraph 3.1.3: Monthly fee rates for the safekeeping of securities and/or recordkeeping of rights to securities – for securities held with international central securities depositories (other than securities referred to in paragraph 3.1.1 of the Fee Schedule):

Securities balance value (in RUB)		Annual rate in basis points
from	to	
0	2,500,000,000	2.436
2,500,000,000.01	6,000,000,000	2.196
6,000,000,000.01	10,000,000,000	1.848
10,000,000,000.01	20,000,000,000	1.716
>20,000,000,000		1.608

More details are available at
<https://www.nsd.ru/en/tariffs/>

INFORMATION AVAILABLE ON NSD'S WEB SITE

- Settlement of trades made on Moscow Exchange:

<https://www.nsd.ru/en/services/depozitariy/operatsii-s-tsennymi-bumagami/birzhevye-raschety/raschety-na-birzhevom-rynk/>

- Corporate actions with foreign securities

<https://www.nsd.ru/en/services/depozitariy/obsluzhivanie-aktivov/sodeystvie-realizatsii-prav-po-tsennym-bumagam/korporativnye-deystviya-s-inostrannymi-tsennymi-bumagami/>

CONTACTS

Should you have any questions, please do not hesitate to contact your personal Relationship Managers:

Tel.: +7 495 232-05-14

E-mail: sales@nsd.ru

THANK YOU!



**NATIONAL
SETTLEMENT
DEPOSITORY**
MOSCOW EXCHANGE GROUP

DISCLAIMER

- This presentation has been prepared and issued by NSD (the "Company"). Unless otherwise stated, the Company is the source for all data contained in this document. Such data is provided as at the date of this document and is subject to change without notice
- This document does not constitute or form part of, and should not be construed as, an offer or invitation for the sale or subscription of, or a solicitation of any offer to buy or subscribe for, any securities, nor shall it or any part of it or the fact of its distribution form the basis of, or be relied on in connection with, any offer, contract, commitment or investment decision relating thereto, nor does it constitute a recommendation regarding the securities of the Company
- The information in this document has not been independently verified. No representation or warranty, express or implied, is made as to, and no reliance should be placed on, the fairness, accuracy or completeness of the information or opinions contained herein. None of the Company, or any of its subsidiaries or affiliates or any of such person's directors, officers or employees, advisers or other representatives, accepts any liability whatsoever (whether in negligence or otherwise) arising, directly or indirectly, from the use of this document or otherwise arising in connection therewith
- This presentation includes forward-looking statements. All statements other than statements of historical fact included in this presentation, including, without limitation, those regarding our financial position, business strategy, management plans and objectives for future operations are forward-looking statements. These forward-looking statements involve known and unknown risks, uncertainties and other factors, which may cause our actual results, performance, achievements or industry results to be materially different from those expressed or implied by these forward-looking statements. These forward-looking statements are based on numerous assumptions regarding our present and future business strategies and the environment in which we expect to operate in the future. Important factors that could cause our actual results, performance, achievements or industry results to differ materially from those in the forward-looking statements include, among other factors:
 - Perception of market services offered by the Company and its subsidiaries
 - Volatility (a) of the Russian economy and the securities market and (b) sectors with a high level of competition that the Company and its subsidiaries operate
 - Changes in (a) domestic and international legislation and tax regulation and (b) state policies related to financial markets and securities markets
 - Competition increase from new players on the Russian market
 - The ability to keep pace with rapid changes in science and technology environment, including the ability to use advanced features that are popular with the Company's and its subsidiaries' customers
 - The ability to maintain continuity of the process of introduction of new competitive products and services, while keeping the competitiveness
 - The ability to attract new customers on the domestic market and in foreign jurisdictions
 - The ability to increase the offer of products in foreign jurisdictions
- Forward-looking statements speak only as of the date of this presentation and we expressly disclaim any obligation or undertaking to release any update of, or revisions to, any forward-looking statements in this presentation as a result of any change in our expectations or any change in events, conditions or circumstances on which these forward-looking statements are based