**Ineffective and erroneous transaction fees calculation parameters**

1. **Ineffective Transaction fee parameters**
   1. Parameters for Ineffective Transaction fee’s calculation

The threshold is 2,000 transactions.

Grades assigned to transactions and trades are as follows:

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Transaction or trade type** | | | **Transaction grade** | **Trade grade** |
| **Attribute 1** | **Attribute 2** | **Attribute 3** |
| 0 | 0 | 0 | 1 | 40 |
| 0 | 0 | 1 | 1 | 40 |
| 0 | 1 | 0 | 0 | 0 |
| 0 | 1 | 1 | 0 | 0 |
| 1 | 0 | 0 | 0,5 | 100 |
| 1 | 0 | 1 | 0 | 0 |
| 1 | 1 | 0 | 0 | 0 |
| 1 | 1 | 1 | 0 | 0 |

Attribute 1: 1 – Transaction or Trade has been executed with indication of a Section specified in the market maker agreement for this instrument; 0 – Transaction or Trade has been executed with indication of a Section, not specified in the market maker agreement for this instrument.

Attribute 2: 0 – futures contract (calendar spread orders also – with transactions); 1 – options.

Attribute 3: 1 – low liquid instruments, 0 – other.

1. **Erroneous Transaction fee parameters**
   1. Parameters for Flood Control Transactions fee’s calculation

Maximum fee value (CapFloodMAX) is set to RUB 45,000

Minimum fee value (CapFloodMIN) is set to RUB 1,000

<A> parameter = 50

<B> parameter = 250

<C> parameter = 3

* 1. Parameters for non-Flood Control transactions fee’s calculation

Maximum fee value (CapMAX) is set to RUB 30,000

Minimum fee value (CapMIN) is set to RUB 1,000

Transaction grades are as follows:

|  |  |  |  |
| --- | --- | --- | --- |
| **Transaction type\*** | **Execution result** | **Error Code** | **Grade Q** |
| AddOrder | Cross trade | (31) | 10 |
| Insufficient client account balance | (332) | 20 |
| Insufficient broker account balance | (333) | 20 |
| FOK order is not filled | (4103) | 5 |
| Session inactive | (3) | 20 |
| DelOrder | Order not found | (14) | 10 |
| Session inactive | (3) | 20 |
| MoveOrder | Cross trade | (31) | 10 |
| Order not found | (50) | 10 |
| Insufficient client account balance | (332) | 20 |
| Insufficient broker account balance | (333) | 20 |
| Session inactive | (3) | 20 |
| DelUserOrders | Operation completed, nothing to delete | (0) | 10 |
| Session inactive | (3) | 20 |

The Technical Center reserves the right to disable the logins if the Block threshold during the Calculation Period exceeds 30,000. Once the Block threshold during the Calculation Period reaches 25,000, the Technical Center sends out automatic notifications (when possible) about the risk of trading login disabling.